



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : Not Assigned Yet  
First Name Inventor : Mark D. Riggins  
Filed: : April 8, 1997  
TC/A.U. : To be assigned  
Examiner : To be assigned  
Attorney Docket No. : 25587-033-004  
Customer No. : 36614  
For : System and Method for Enabling Secure Access to Services in a  
Computer Network

Mail Stop: 313(c)  
Office of Petitions  
Commissioner for Patent  
Washington, D.C. 20231

**INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 CFR § 1.97(b)**

Enclosed is a copy of the Information Disclosure Statement Citation Form PTO/SB/08A and Form PTO/SB/08B together with copies of the documents cited on that form pursuant to 37 C.F.R. § 1.98(2). It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Statement Form PTO/SB/08A and Form PTO/SB/08B be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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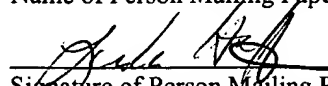
(37 C.F.R. §1.8)

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Pursuant to 37 C.F.R. § 1.97, this information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


  X   37 C.F.R. § 1.97(b) (4).

The Commissioner is hereby authorized to charge any additional fees to Manatt, Phelps & Phillips' Deposit Account No. **50-1847** or to credit any overpayment to the same for all matters during the prosecution of the subject application.

Respectfully submitted,

MANATT, PHELPS & PHILLIPS  
Attorneys for Applicants

Date: October 6, 2006



Pamela S. Merkadeau  
Registration Number 53,318

Manatt, Phelps, & Phillips  
1001 Page Mill Road, Building 2  
Palo Alto, CA 94304  
Telephone: (650) 821-1300  
Facsimile: (650) 213-0260

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **Complete if Known**

Application Number	To be assigned.
Filing Date	October , 2006
First Named Inventor	Mark D. Riggins
Art Unit	To be assigned.
Examiner Name	To be assigned.
Attorney Docket Number	25587-033-004

Sheet 1 of 3

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	5,787,441	07-28-1998	Beckhardt, et al.	
	B1	5,857,201	01-05-1999	Wright, Jr., et al.	
	C1	6,023,708	02-08-2000	Mendez, et al.	
	D1				
	E1				
	F1				
	G1				
	H1				
	J1				
	K1				
	L1				
	M1				
	N1				
	O1				
	P1				
	Q1				
	R1				
	S1				

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	T1	EP 0684558	11-29-1995			
	U1					
	V1					
	W1					
	X1					

Examiner Signature

Date  
Considered

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<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
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Sheet	2	of	3	Attorney Docket Number	25587-033-004

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city	T <sup>2</sup>
	A2	Lotus Notes Release 4, "Adminstrator's Guide," 1995 Lotus Development Corporation, Cambridge, MA	
	B2	Dahl, Andrew, "Lotus Notes 4 Administrator's Survival Guide," 1996, <u>Sams Publishing</u> , Indianapolis, IN	
	C2	P. Grous, "Creating and Managing a Web Site with Lotus' InterNotes Web Publisher," <u>The View</u> , Vol. 1, Issue 4, pp. 3-18 (October/October 1995)	
	D2	Lambert, M. "PCMAIL: A Distributed Mail System for Personal Computers," <u>Network Working Group</u> , June 1988	
	E2	Kumar, Puneet, "Mitigating the Effects of Optimistic Replication in a Distributed File System", Ph.D, Thesis, School of Comp. Sci., Carnegie Mellon Univ., December 1994	
	F2	Bruegge, Bernd and Bennington, Ben, "Applications of Mobile Computing and Communications," IEEE Personal Communications, February 1996	
	G2	Husted, Bill "Calling up the Future FCC ruling will change the way we use Phones", <u>The Atlanta Journal and Constitution</u> , July 16, 1992	
	H2	Lotus Notes Internet Cookbook for Notes Release 3 (January 1996)	
	I2	L. Pyle, "A Jump Start to the Top Ten R3-to-R4 Migration Considerations," <u>The View</u> , Vol 1., Issue 5 pp. 3-20 (November/December 1995)	
	J2	H. Wong, "Cashahi's Replic-Action: Delivering True Notes/DBMS Integration", <u>The View</u> , Vol. 2, Issue 1, pp. 33-50 (January/February 1996)	
	K2	IntelliLink Corporation, IntelliLink for Windows Release 3.0, "User's Guide" 1994, Nashra, NH	
	L2	K. Brown, et al., Mastering Lotus Notes published by <u>Sybex Inc.</u> (1995)	

Examiner Signature	Date	Considered
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Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city	T <sup>2</sup>
	A3	Lotus Development Corporation, Lotus Notes Release 4, "Application Developer's Guide", 1995, Cambridge, MA	
	B3	Lotus Development Corporation, Lotus Notes Release 3.3 North American Server Edition, "Lotus Notes, the Groupware Standard", 1994, Cambridge, MA	
	C3	Sams Publishing, Tamura, et al., "Lotus Notes 4 Unleashed", 1996, Indianapolis, IN	
	D3	Advisor Publications – Lotus Notes Advisor, Pyle, Hugh, "The Notes Architecture", 1995	
	E3	Advisor Publications – Lotus Notes Advisor, Augun, Audry, "Integrating Lotus Notes with Enterprise Data", 1996	
	F3	Advisor Publications – Lotus Notes Advisor, Opyt, Barbara and Dale, Robert, "Use the Internet as Your Lotus Notes WAN", 1996	
	G3	Lotus Development Corporation, Lotus Notes Knowledge Base, "What is the Notes Replicator?" 1995, Cambridge, MA	
	H3	Lotus Development Corporation, Lotus Notes Knowledge Base, "Firewall Security Overview and How Firewalls Relate to Lotus Notes" 1996, Cambridge, MA	
	I3	Network Computing, Frenkel, Garry, "Pumping for Infor: Notes and Database Integration", 1996	
	J3	IBM Corporation, Hawker, et al., "Secrets to Running Lotus Notes: The Decisions No One Tells You How to Make", 1996, Research Triangle Park, NC	
	K3	IBM Lotus Technical Library, "Lotus Notes Internet Cookbook for Notes Release 4", <a href="http://www-12.lotus.com/ldd/doc/domino_notes/cookbook/cbookv4.nsf;e12503289bf7b3a3852562b3006b8fc5/9e9126d61cd7b8b9852562d00070f9b2?OpenDocument">http://www-12.lotus.com/ldd/doc/domino_notes/cookbook/cbookv4.nsf;e12503289bf7b3a3852562b3006b8fc5/9e9126d61cd7b8b9852562d00070f9b2?OpenDocument</a>	
	L3	<a href="HTTP://222-128.ibm.com/developerworks/lotus/library/ls-NDHistory/">HTTP://222-128.ibm.com/developerworks/lotus/library/ls-NDHistory/</a> , "The History of Lotus Notes and Domino", 10 December 2005	

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